

Rec'd PCT/PTO 24 MAY 2002
10/031208

U.S. PATENT & TRADEMARK OFFICE[®]

Applicant(s): Bonnie R. Hames)
)
International (PCT) Application No.:)
PCT/US01/11806)
)
Serial No.: 10/031,208)
)
Filed: April 10, 2001)
)
Title: Phenol Removal Pretreatment) Atty. Dkt. No.: NREL 98-21
Process)

STATEMENT TO REVIVE ABANDONED APPLICATION

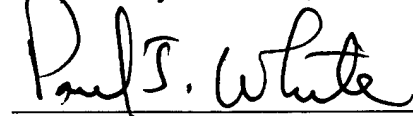
Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

Attn: PCT Legal Staff

Applicants filed the above-identified application to the United States Designated Office Under 35 U.S.C. 1.371 on January 11, 2002. However, Applicants erroneously typed the wrong deposit account number on the Transmittal Letter form. Applicants were unaware of the error until it was brought to their attention by the U.S. Patent Office in another application. The Deposit Account Number 14-1460 listed on the second page of the Transmittal Letter form was incorrect and should have read 14-0460. Applicants state that the error was done unintentionally and hopes that the Petition to Revive of the International Application will be granted.

Dated: May 10 2002.

Respectfully submitted,



Paul J. White, Reg. No. 30,346
Attorney for Applicants

National Renewable Energy Laboratory
1617 Cole Blvd.
Golden, CO 80401
303/384-7575

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT DESIGNATING THE U.S. ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)	Docket Number (Optional) NREL 98-21
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First named inventor: Bonnie R. Hames

International (PCT) Application No.: PCT/US01/11806

U.S. Application No. (if known): 10/031,208

Filed: April 10, 2001

Title: PHENOL REMOVAL PRETREATMENT PROCESS

Attention: PCT Legal Staff
Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

The above-identified application became abandoned as to the United States because the fees and documents required by 35 U.S.C. 371(c) were not filed prior to the expiration of the time set in 37 CFR 1.494(b) or (c) or 1.495(b) or (c) as applicable. The date of abandonment is the day after the date on which the 35 U.S.C. 371(c) requirements were due. See 37 CFR 1.494(g) or 1.495(h).

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee
- (2) Proper reply
- (3) Terminal disclaimer with disclaimer fee--required for all international applications having an international filing date before June 8, 1995; and
- (4) Statement that the entire delay was unintentional.

1. Petition fee

☒ Small entity - fee \$ 640.00 (37 CFR 1.17(m)). Applicant claims small entity status.
See 37 CFR 1.27.

☐ Other that small entity - fee \$ _____ (37 CFR 1.17(m))

2. Proper reply

A. The proper reply (the missing 35 U.S.C. 371(c) requirement(s) in the form of
Statement to Revive Abandoned Application (identify type of reply):

☐ has been filed previously on _____

☒ is enclosed herewith.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

3. Terminal disclaimer with disclaimer fee

- ☐ Since this international application has an international filing date on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$_____ for a small entity or \$_____ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. Statement. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

May 10, 2002
Date

Telephone
Number: (303) 843-7575

Paul J. White
Signature

Paul J. White

Typed or printed name

National Renewable Energy Laboratory

Address

1617 Cole Blvd.
Golden, CO 80401

- Enclosures: ☐ Response
☒ Fee Payment
☐ Terminal Disclaimer Form
☐ _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bonnie R. Hames

Serial No.: 10/031,208

PCT SN: PCT/01/11806

Filing Date: April 10, 2001

Title: Phenol Removal Pretreatment Process

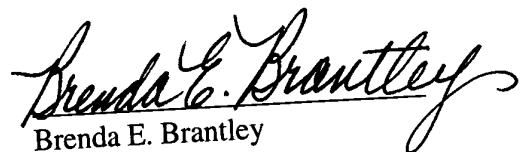
)
) Art Unit: Not Yet Accorded
)
) Examiner: Not Yet Accorded
)
)
)
) Atty. Dkt. No.: NREL 98-21

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that the following attached items:

- (1) Fee Transmittal
- (2) Petition for Revival of an International Application for Patent
Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b)
[2 pages]
- (3) Statement to Revive Abandoned Application
- (4) Postcard receipt

are being deposited in the United States Postal Service as first class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, DC. 20231 on this 10th day of May 2002.


Brenda E. Brantley
Senior Patent Administrator

National Renewable Energy Laboratory
1617 Cole Boulevard
Golden, CO 80401
303/384-7572
303/384-4799 (fax)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 640.00)

Complete if Known

Application Number	10/031,208
Filing Date	Not Yet Accorded
First Named Inventor	Bonnie R. Hames
Examiner Name	Not Yet Accorded
Group / Art Unit	Not Yet Accorded
Attorney Docket No.	NREL 89-21

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 14-0460
Deposit Account Name: National Renewable Energy Lab.

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	790	201 395 Utility filing fee	
106	330	206 165 Design filing fee	
107	540	207 270 Plant filing fee	
108	790	208 395 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**		X \$ 9	
Independent Claims	3**	X \$42	
Multiple Dependent			

*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	22	203 11 Claims in excess of 20
102	82	202 41 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	82	209 41** Reissue independent claims over original patent
110	22	210 11** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	115 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	950	217 475 Extension for reply within third month	
118	1,510	218 755 Extension for reply within fourth month	
128	2,060	228 1,030 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to reive - unavoidable	
141	1,320	241 660 Petition to reive - unintentional	
142	1,320	242 660 Utility issue fee (or reissue)	
143	450	243 225 Design issue fee	
144	670	244 335 Plant issue too	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	790	246 395 Filing a submission after final rejection (37 CFR 1.1 29(a))	
149	790	249 395 For each additional invention to be examined (37 CFR 1.1 29(b))	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$ 640.00)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name: Paul J. White, Senior Patent Counsel

Signature: Paul J. White

Date: 05/10/02

Complete (if applicable)

Reg. Number: 30,436

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.